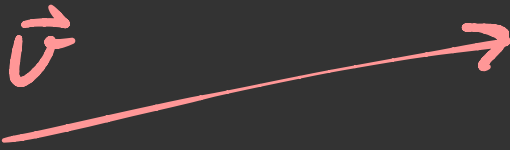


# A companion to vectors.

Lesson One.

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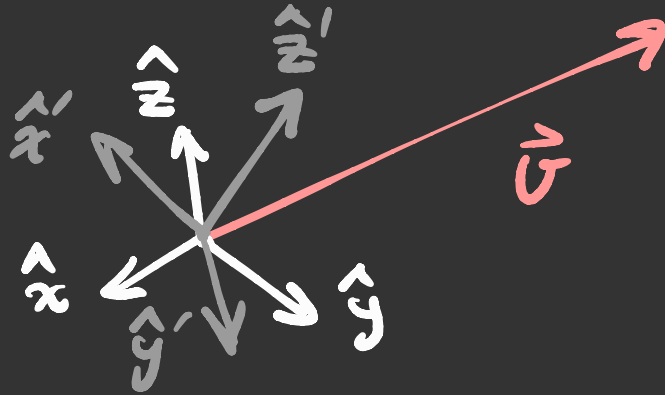
The vector  $\vec{u}$  is a geometric object. It has length / magnitude, an orientation / angle relative to other vectors, as well as a dot product with other vectors — but we don't have any of these things until we have defined a metric  $g$ ! What do we have?

$\vec{v}$  belongs to a vector space  $\mathcal{V}$   
(with all the usual vector space axioms)

If we choose a set of basis vectors,  
such as  $(\hat{x}, \hat{y}, \hat{z})$ , we can  
decompose  $\vec{v}$  into

$$\vec{v} = v^x \hat{x} + v^y \hat{y} + v^z \hat{z}.$$

The same vector  $\vec{U}$  can be expressed in many different choices of basis.



$$\vec{U} = U^x \hat{x} + U^y \hat{y} + U^z \hat{z}.$$

$$\vec{U} = U^{x'} \hat{x}' + U^{y'} \hat{y}' + U^{z'} \hat{z}'.$$

The sum  $\vec{v} = v^x \hat{x} + v^y \hat{y} + v^z \hat{z}$

can be written in a more compact form.

$$\vec{v} = v^x \hat{x} + v^y \hat{y} + v^z \hat{z}$$

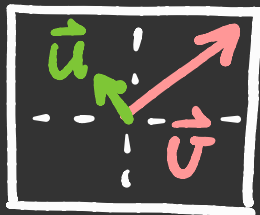
$$\vec{v} = \sum_i v^i \hat{x}_i$$

$$\vec{v} = v^i \hat{x}_i = v^i \hat{x}_i'$$

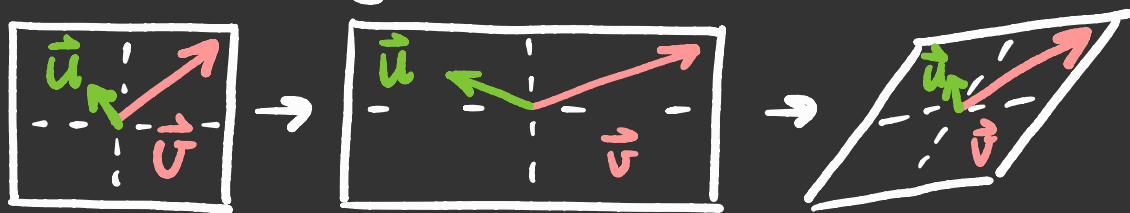
This is the Einstein summation convention:

repeated indices are summed over.

Let's consider the dot product e.g.  $\vec{u} \cdot \vec{v}$  as depicted in the diagram. We are used to the formula  $\vec{u} \cdot \vec{v} = u^x v^x + u^y v^y + u^z v^z$ , but this is only in a specific coordinate system with a specific metric! What does  $\vec{u} \cdot \vec{v}$  seem to be? What if we distort the diagram? How do we arrive at the right formula?



$\vec{u}$  and  $\vec{v}$  may appear to be perpendicular, indicating a dot product of zero, but note what happens if we allow the diagram to distort:



Choosing to depict  $\vec{u}$  as  $\tilde{u}$  though, we see something else.



Do we see  $\vec{u} \cdot \vec{v} = 0$  represented here? What is  $\tilde{u}$ ?

$\tilde{u}$  is the dual vector to  $\vec{u}$ , in that if we say  $\vec{u}$  lives in a vector space  $V$ , then  $\tilde{u}$  lives in the dual space  $V^*$ .

Dual vectors, also called 1-forms, take in vectors as input and return scalars.  $\tilde{u} : V \rightarrow \mathbb{R}$

It is our companion to vectors.



How do we express  $\tilde{u}$ , given that we can express  $\vec{u}$  as  $\vec{u} = u^x \hat{x} + u^y \hat{y} + u^z \hat{z}$ ?

Just as a vector space  $V$  can be spanned by a choice of basis vectors, the dual space  $V^*$  is spanned by dual basis vectors.

$$\Rightarrow \tilde{u} = u_x dx + u_y dy + u_z dz$$

How are these defined?

The dual basis vectors  $dx^i$  are defined such that  $dx^i(\hat{x}_j) = \delta^i_j$ , the Kronecker delta symbol:  $\delta^i_j = \begin{cases} 1 & i=j \\ 0 & i \neq j \end{cases}$ .

In this way, we can see how  $dx^i$  picks out the  $i$ -th component of a vector  $\vec{U}$ :

$$dx(\vec{U}) = U^x dx(\hat{x}) + \cancel{U^y dx(\hat{y})} + \cancel{U^z dx(\hat{z})}$$

$$dx(\vec{U}) = U^x.$$

The dual  $\tilde{u}$  to a vector  $\vec{u}$  is obtained via the metric  $g$ :  $u_j = g_{ij}u^i$ . The metric is expressed as a tensor product of 1-forms:

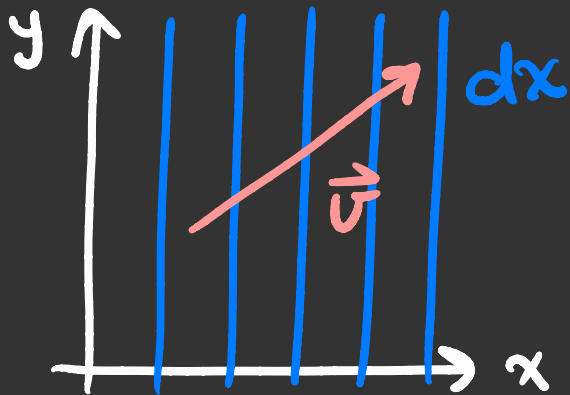
$g = g_{ij} dx^i \otimes dx^j$ , then, we have:

$$\begin{aligned} g\vec{u} &= g_{ij} dx^i \otimes dx^j u^k \hat{x}_k \\ &= g_{ij} u^k dx^i (\hat{x}_k) \otimes dx^j \\ &= g_{ij} u^k \delta^i_k dx^j \\ &= g_{ij} u^i dx^j \\ &= u_j dx^j = \tilde{u}. \checkmark \end{aligned}$$

How do we depict the basis 1-forms?

Recall that  $dx^i(\vec{v}) = v^i$  — the 1-form projects the vector along a direction. We

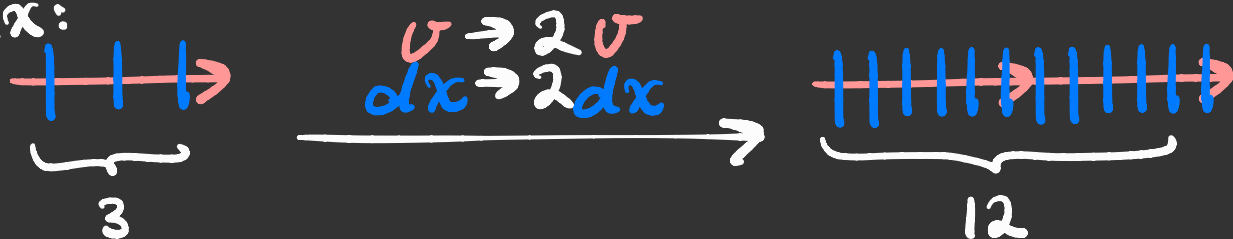
can then depict, for example  $dx$ , as:



$dx(\vec{v}) = 3$ , the number of intersections.

The depiction of  $dx$  has a  $\text{length}^{-1}$  nature, cancelling out the dimensions of length a vector  $\vec{v}$  has, yielding a dimensionless number.

Ex:



Note that the depiction is a discretized one — while  $dx(\vec{v}) \in \mathbb{R}$ , the number of intersections  $\in \mathbb{Z}$ .

Also note that the lines representing  $dx$  should be thought of as extending in all directions!

Let's now consider how  $\frac{\partial}{\partial x^i}$  defines a vector at each point, even in curvilinear coordinates, for fixed  $i$ .

Every vector  $\vec{U}$  at a point  $p$  corresponds to a possible directional derivative of a function  $f$ :

$$\vec{U} \rightarrow D_{\vec{U}} f = U^i \frac{\partial}{\partial x^i} f. \text{ We then write}$$

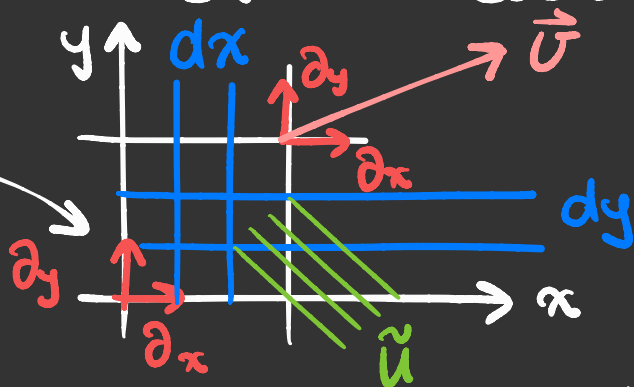
$$D_{\vec{U}} f \text{ as } \vec{U} f \Rightarrow \vec{U} = U^i \frac{\partial}{\partial x^i}.$$

Dual basis vectors are defined such that  $\boxed{dx^i(\partial_j) = \delta^i_j}$ .  
(Note  $\partial_i$  is shorthand for  $\partial/\partial x^i$ )

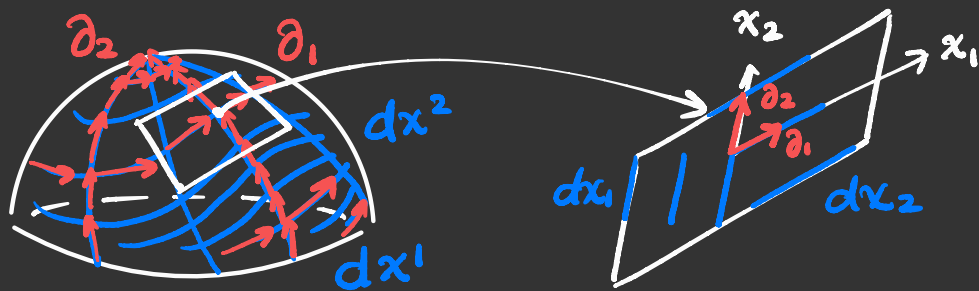
In flat space with a Cartesian coordinate system we have:

Note now

$$dx^i \partial_j = \delta^i_j$$



Smooth manifolds in space are locally flat.



Note how 1-forms follow lines of constant value.

We will see later that gradients are 1-forms.

We are now ready to discuss the dot product (scalar product) in a generic coordinate system with generic metric.

$$\begin{aligned} \vec{u} \cdot \vec{v} &= u^i \partial_i \cdot v^j \partial_j \\ &= u^i v^j \partial_i \cdot \partial_j \\ &= u^i v^j g_{ij} \\ &= u_j v^j \end{aligned} \quad \left| \quad \begin{aligned} \tilde{u}(\vec{v}) &= u_i dx^i v^j \partial_j \\ &= u_i v^j dx^i \partial_j \\ &= u_i v^j \delta^i_j \\ &= u_i v^i \quad \checkmark \end{aligned} \right.$$

Note:

$$g_{ij} \equiv \partial_i \cdot \partial_j$$

We will see mathematically how  $\tilde{u}(\vec{v})$  is preserved under transformations.



Given an arbitrary, differentiable coordinate transformation  $x^{\mu'}(x^\mu)$ , the jacobian  $\frac{\partial x^{\mu'}}{\partial x^\mu}$  is the linear approximation to the transformation at a point. ———

Tensors, defined at a point, transform with factors of the (inverse) jacobian.

$$x^{\mu'} = x^{\mu'}(x^\mu)$$

$$v^{\mu'} = v^\mu \frac{\partial x^{\mu'}}{\partial x^\mu}$$

$$u_{\mu'} = u_\mu \frac{\partial x^\mu}{\partial x^{\mu'}}$$

We can deduce these rules by examining the chain rule:

$$dx = \frac{\partial x}{\partial x'} dx' + \frac{\partial x}{\partial y'} dy' \Rightarrow dx = \frac{\partial x}{\partial x^{\mu'}} dx^{\mu'}$$

$$\Rightarrow \boxed{dx^{\mu} = \frac{\partial x^{\mu}}{\partial x^{\mu'}} dx^{\mu'}} \quad \text{also,} \quad \boxed{\frac{\partial}{\partial x^{\mu}} = \frac{\partial x^{\mu'}}{\partial x^{\mu}} \frac{\partial}{\partial x^{\mu'}}$$

Then, we have:

$$v^{\mu} \frac{\partial}{\partial x^{\mu}} = v^{\mu} \frac{\partial x^{\mu'}}{\partial x^{\mu}} \frac{\partial}{\partial x^{\mu'}} = v^{\mu'} \frac{\partial}{\partial x^{\mu'}} \Rightarrow \boxed{v^{\mu'} = v^{\mu} \frac{\partial x^{\mu'}}{\partial x^{\mu}}}$$

$$u_{\mu} dx^{\mu} = u_{\mu} \frac{\partial x^{\mu}}{\partial x^{\mu'}} dx^{\mu'} = u_{\mu'} dx^{\mu'} \Rightarrow \boxed{u_{\mu'} = u_{\mu} \frac{\partial x^{\mu}}{\partial x^{\mu'}}}$$

Let's now reexamine how  $\tilde{u}(\vec{v})$  behaves

under a coordinate transformation:

$$\begin{aligned}\tilde{u}'(\vec{v}') &= u_{\mu}' v^{\mu'} = u_{\mu} \frac{\partial x^{\mu}}{\partial x^{\mu'}} v^{\nu} \frac{\partial x^{\mu'}}{\partial x^{\nu}} \\ &= u_{\mu} v^{\nu} \frac{\partial x^{\mu}}{\partial x^{\mu'}} \frac{\partial x^{\mu'}}{\partial x^{\nu}} = u_{\mu} v^{\nu} \delta^{\mu}_{\nu}\end{aligned}$$

$$= u_{\mu} v^{\mu}$$

$$= \tilde{u}(\vec{v}) \quad \checkmark \Rightarrow \text{The scalar product}$$

is preserved under coordinate transformations!

Finally now we can answer one of the most important questions about vectors:

What is its length/magnitude?

$$|\vec{U}| = \sqrt{\vec{U} \cdot \vec{U}} = \sqrt{U_\mu U^\mu} = \sqrt{g_{\mu\nu} U^\mu U^\nu}$$

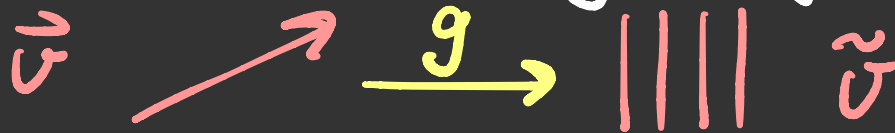
Note: our metric also transforms under coordinate transformations! As it has two

lower indices,  $g_{\mu'\nu'} = g_{\mu\nu} \frac{\partial x^\mu}{\partial x^{\mu'}} \frac{\partial x^\nu}{\partial x^{\nu'}}$ .

Summary I: vectors and 1-forms  
(and their depictions!) transform in  
complementary ways so that scalar  
products (depicted by number of intersections)  
are preserved under coordinate trans-  
formations!



Summary II: The metric (or inner product), often denoted by  $g$ , is the additional structure that is needed to be added to  $V$  to define the lengths/magnitudes of vectors, as well as the angle between two vectors. It is the metric that defines the geometry of the space.



END